

# Five-Dimensional Lax-Integrable Equation, Its Reductions and Recursion Operator

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Consider an 5D equation

$$u_{yz} = u_{ts} + u_s u_{xz} - u_z u_{xs} \quad (1)$$

This equation is related to the five-dimensional equation considered by [Martínez Alonso Shabat 2004]. It is a particular case of [Manakov-Santini 2006, 2014] equation.

We show that (1) has differential coverings with non-removable parameters (*Lax-integrability*). Two known 4-dimensional Lax-integrable equations

$$u_{yz} = u_{tx} + u_x u_{xy} - u_y u_{xx} \quad (2)$$

and

$$u_{ty} = u_z u_{xy} - u_y u_{xz} \quad (3)$$

introduced by [Ferapontov Khusnutdinova 2003] and [Martínez Alonso Shabat 2004], respectively, and their coverings with non-removable parameters are simple reductions of (1) and one of its coverings.

3-dimensional Lax-integrable reductions of equation (3) were considered in [Morozov Sergyeyev 2014]. We also find two reductions of equation (2) to known 3-dimensional Lax-integrable equations. One of them turns out to be also a reduction of (3).

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## Two coverings

Using the method described by [Morozov 2009, 2014] we find a contact integrable extension for the structure equations of the symmetry pseudo-group of our equation (1). We obtain two coverings of (1): The first covering is defined by system

$$\begin{cases} q_t &= H q_z + u_z q_x, \\ q_y &= H q_s + u_s q_x, \end{cases} \quad (4)$$

where  $H$  is a solution to

$$\begin{cases} H_t &= H H_z, \\ H_y &= H H_s, \\ H_x &= 0. \end{cases} \quad (5)$$

The second covering is defined by

$$\begin{cases} q_t &= q q_z + u_z q_x, \\ q_y &= q q_s + u_s q_x. \end{cases} \quad (6)$$

## The first covering

The first covering system (5) has constant solutions

$H(t, y, z, s) \equiv \lambda = \text{const.}$  The parameter  $\lambda$  in the corresponding system

$$\begin{cases} q_t &= \lambda q_z + u_z q_x, \\ q_y &= \lambda q_s + u_s q_x \end{cases} \quad (7)$$

is non-removable, that is, it can not be eliminated from (7) by a gauge transformation (a transformation of the covering that is identical on the base equation).

Moreover, one can input *hidden spectral parameters* into the covering (4) with  $H \not\equiv \text{const.}$  Such a covering of (1) may be defined by the system

$$\begin{cases} q_t &= -\frac{\alpha_1 z + \alpha_2 s + \alpha_3}{\alpha_1 t + \alpha_2 y + \alpha_4} q_z + u_z q_x, \\ q_y &= -\frac{\alpha_1 z + \alpha_2 s + \alpha_3}{\alpha_1 t + \alpha_2 y + \alpha_4} q_s + u_s q_x \end{cases} \quad (8)$$

with four non-removable parameters  $\alpha_1, \dots, \alpha_4 \in \mathbb{R}$ .

## The second covering

Upon excluding  $u$  from (7) we arrive to the equation

$$\left(\frac{q_y - \lambda q_s}{q_x}\right)_z = \left(\frac{q_t - \lambda q_z}{q_x}\right)_s \quad (9)$$

or

$$q_x (q_{yz} - q_{ts}) + (q_y - \lambda q_s) q_{xz} - (q_t - \lambda q_z) q_{xs} = 0, \quad (10)$$

which can be considered as a 5-dimensional generalization for the so-called ABC equation

$$A q_x q_{ty} + B q_y q_{tx} + C q_t q_{xy} = 0, \quad A + B + C = 0, \quad (11)$$

which describes three-dimensional Veronese webs [Zakharevich 2000], [Dunajski Krynski 2013], [Ferapontov Kruglikov 2012], [Ferapontov Moss 2012], and for the 4-dimensional equation

$$q_y q_{xz} + \lambda q_x q_{ty} - (q_z + \lambda q_t) q_{xy} = 0, \quad (12)$$

from [Morozov Sergyeyev 2014].

In the case of  $\lambda = 0$  equation (9) coincides with equation (16) from [Martínez Alonso Shabat 2004].

## The second covering – cont.

Renaming  $q \mapsto r$ ,  $\lambda \mapsto \mu$  in the covering (10) gives equation

$$r_x (r_{yz} - r_{ts}) + (r_y - \mu r_s) r_{xz} - (r_t - \mu r_z) r_{xs} = 0.$$

This equation is related to equation (10) by the Bäcklund transformation

$$\begin{cases} r_t &= \frac{q_t - \lambda q_z}{q_x} r_x + \mu r_z, \\ r_y &= \frac{q_y - \lambda q_s}{q_x} r_x + \mu r_s, \end{cases} \quad (13)$$

which is the generalization of the corresponding Bäcklund transformations for ABC (11) and (12) from [Zakharevich 2000] and [Morozov Sergyeyev 2014], respectively. Unlike those transformations, the case of  $\mu = \lambda$  is not excluded in (13). In this case we obtain the Bäcklund auto-transformation for equation (10).



## First reduction (5D $\rightarrow$ 4D Pavlov $\rightarrow$ 3D Pavlov)

Put  $s = x$  and rename  $y = z$ ,  $z = y$ . This reduces equation (1) to the 4D Pavlov equation

$$u_{yz} = u_{tx} + u_x u_{xy} - u_y u_{xx} \quad (2)$$

The corresponding reduction of (7) provides a covering with non-removable parameter for (2) defined by system

$$\begin{cases} q_t = \lambda q_y + u_y q_x, \\ q_z = (u_x + \lambda) q_x. \end{cases} \quad (14)$$

In its turn, equation (2) admits two reductions to three-dimensional Lax-integrable equations.

When  $z = y$ , (2) reduces to equation

$$u_{yy} = u_{tx} + u_x u_{xy} - u_y u_{xx} \quad (15)$$

while (7) transforms into its covering

$$\begin{cases} q_t = (\lambda^2 + \lambda u_x + u_y) q_x, \\ q_y = (u_x + \lambda) q_x \end{cases} \quad (16)$$

found in [Pavlov 2003, Dunajski 2004].

## First reduction (5D $\rightarrow$ 4D Pavlov $\rightarrow$ 3D rdDym )

Otherwise, if we suppose that  $u$  and  $q$  in (1) and (7) are independent of  $t$ , so  $u_t = 0$ ,  $q_t = 0$ , and then rename  $z = -t$ , we obtain equation, [Blaszak 2002, Pavlov 2006, Ovsienko 2010, Morozov 2009],

$$u_{ty} = u_y u_{xx} - u_x u_{xy} \quad (17)$$

and its covering, [Pavlov 2006, Morozov 2009],

$$\begin{cases} q_t &= -(u_x + \lambda) q_x, \\ q_y &= -\lambda^{-1} u_y q_x. \end{cases} \quad (18)$$

## Second reduction (5D $\rightarrow$ 4D rdDym $\rightarrow$ 3D rdDym)

If we put  $s = y$  in (1) and (7), then change the variables and the parameter  $t = \tilde{t}$ ,  $x = \tilde{x}$ ,  $y = \tilde{y}$ ,  $z = -\tilde{t} - \tilde{z}$ ,  $u = \tilde{u}$ ,  $q = \tilde{q}$ ,  $\lambda = 1 - \tilde{\lambda}^{-1}$ , and finally drop tildes, we get equation

$$u_{ty} = u_z u_{xy} - u_y u_{xz}. \quad (3)$$

The covering (7) transforms into the covering

$$\begin{cases} q_y &= u_z q_x - \lambda^{-1} q_z, \\ q_z &= \lambda u_y q_x \end{cases} \quad (19)$$

found in [Morozov 2014].

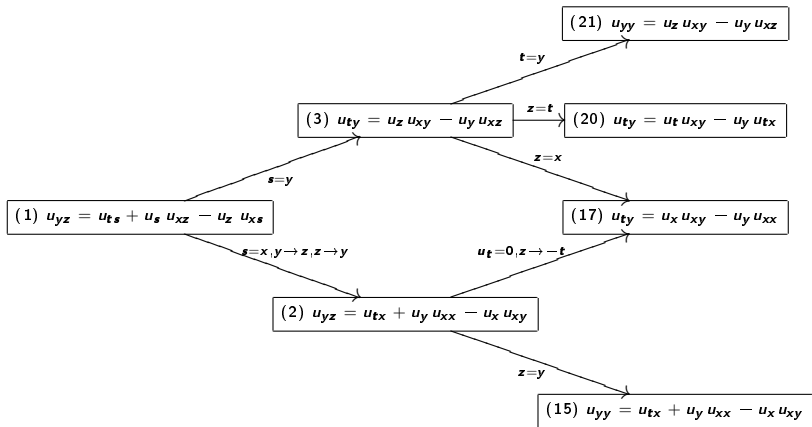
Reductions of (3) to (17) together with *basic Veronese web equation*

$$u_{ty} = u_t u_{xy} - u_y u_{tx} \quad (20)$$

and *universal hierarchy equation*

$$u_{yy} = u_z u_{xy} - u_y u_{xz} \quad (21)$$

were found in [Morozov Sergyeyev 2014].



(3) 4D rdDym equation (4D Alonso-Shabat equation);

(2) 4D Pavlov equation;

(21) universal hierarchy equation;

(20) basic Veronese web equation;

(17) 3D rdDym equation;

(15) 3D Pavlov's equation.

## Symmetries, shadows

Local symmetries of  $u_{yz} = u_{ts} + u_s u_{xz} - u_z u_{xs}$  (1) are solutions to its *linearization*

$$D_{yz}(\varphi) = D_{ts}(\varphi) + u_s D_{xz}(\varphi) + u_{xz} D_s(\varphi) - u_z D_{xs}(\varphi) - u_{xs} D_z(\varphi), \quad (22)$$

such that  $\varphi$  is a function of  $t, x, y, z, s, u$  and derivatives of  $u$  w.r.t.  $t, \dots, s$  up to some order.

The recursion operator is a Bäcklund auto-transformation for system (1), (22). To construct it we consider *shadows of nonlocal symmetries* (or merely shadows) of equation (1) in covering (7). Informally speaking, the shadows are solutions to (22) such that  $\varphi$  is a function of  $t, \dots, s, u, q$  and their derivatives up to some order.

For simplicity of calculations we rewrite (7) in the form

$$q_z = \lambda^{-1} (q_t - u_z q_x), \quad q_s = \lambda^{-1} (q_y - u_s q_x). \quad (23)$$

Direct computations [Jets software, HB & MM]: Every shadow of (1) in covering (23) that depend on derivatives of  $u$  and  $q$  up to the first order is the sum of a local symmetry and the function

$$r = (c_1 q_t + c_2 q_y + Q) q_x^{-1}, \quad (24)$$

where  $c_1, c_2 \in \mathbb{R}$  and  $Q = Q(t, y, z, s, q)$  is a solution to system

$$Q_y = \lambda Q_s, \quad Q_t = \lambda Q_z. \quad (25)$$

We observe that, first, function (24) is a solution to system

$$\begin{cases} D_z(r) = \lambda^{-1} (D_t(r) - u_z D_x(r) + u_{xz} r - c_1 u_{tz} - c_2 u_{yz}), \\ D_s(r) = \lambda^{-1} (D_y(r) - u_s D_x(r) + u_{xs} r - c_1 u_{ts} - c_2 u_{ys}), \end{cases} \quad (26)$$

and, second, shadows of the first order for (1) in covering (26) up to adding a local symmetry are either of form  $r$  when  $c_1^2 + c_2^2 \neq 0$  or of form  $R \cdot r$  when  $c_1 = c_2 = 0$ , here  $R = R(t, y, z, s)$  is a solution to system (25). In any case we conclude that solutions to (26) are also solutions to linearized eq. (22).

Now suppose that a formal power series

$$r = \sum_{n=-\infty}^{+\infty} \lambda^n r_n \quad (27)$$

is a solution to (26). Then  $r$  is a solution to linearized eq. (22), and, since (22) is independent of  $\lambda$ , each  $r_n$  is also a solution to (22). Substituting for (27) into (26) yields the infinite series of systems

$$\begin{cases} D_z(r_n) &= D_t(r_{n+1}) - u_z D_x(r_{n+1}) + u_{xz} r_{n+1} - c_1 u_{tz} - c_2 u_{yz}, \\ D_s(r_n) &= D_y(r_{n+1}) - u_s D_x(r_{n+1}) + u_{xs} r_{n+1} - c_1 u_{ts} - c_2 u_{ys}. \end{cases} \quad (28)$$

Now for a fixed  $n \in \mathbb{Z}$  in the corresponding system (28) we rename  $r_n = \psi$ ,  $r_{n+1} = \varphi + c_1 u_z + c_2 u_s$  and obtain

## The recursion operator and its inverse

$$\begin{cases} D_z(\psi) = D_t(\varphi) - u_z D_x(\varphi) + u_{xz} \varphi, \\ D_s(\psi) = D_y(\varphi) - u_s D_x(\varphi) + u_{xs} \varphi. \end{cases} \quad (29)$$

Since  $r_n$ ,  $r_{n+1}$ ,  $u_z$  and  $u_s$  are solutions to linearized eq. (22),  $\varphi$  and  $\psi$  are also its solutions. Direct computations show that by virtue of (1) system (29) is compatible whenever  $\varphi$  is a solution to (22). Expressing  $D_t(\varphi)$  and  $D_y(\varphi)$  from (29) gives

$$\begin{cases} D_t(\varphi) = D_z(\psi) + u_z D_x(\varphi) - u_{xz} \varphi, \\ D_y(\varphi) = D_s(\psi) + u_s D_x(\varphi) - u_{xs} \varphi. \end{cases} \quad (30)$$

This system is compatible by virtue of (1) whenever  $\psi$  is a solution to (22). Thus (29) and (30) define a Bäcklund auto-transformation for (22). In other words, (29) and (30) define a recursion operator  $\psi = \mathcal{R}(\varphi)$  and the inverse recursion operator  $\varphi = \mathcal{R}^{-1}(\psi)$ , respectively.



## Reduced covering

Consider again the covering

$$\begin{cases} q_z &= \lambda^{-1} (q_t - u_z q_x), \\ q_s &= \lambda^{-1} (q_y - u_s q_x) \end{cases} \quad (23)$$

and expand it in powers of  $\lambda$  (summation over repeating indices):

$$\begin{aligned} \lambda^i q_{i,z} &= \lambda^{i-1} (\lambda^i q_{i,t} - u_z \lambda^i q_{i,x}) = \lambda^{i-1} q_{i,t} - u_z \lambda^{i-1} q_{i,x}, \\ \lambda^i q_{i,s} &= \lambda^{i-1} (\lambda^i q_{i,y} - u_s \lambda^i q_{i,x}) = \lambda^{i-1} q_{i,y} - u_s \lambda^{i-1} q_{i,x}, \end{aligned}$$

which gives

$$q_{i,z} = q_{i+1,t} - u_z q_{i+1,x}, \quad q_{i,s} = q_{i+1,y} - u_s q_{i+1,x}. \quad (31)$$

Consider the two following reductions of (31):

- ▶ *positive*,  $\tau_+ = \{q_{i<0} = 0\}$ ;
- ▶ *negative*,  $\tau_- = \{q_{i>0} = 0\}$ .

## Positive covering

The positive one, ie.

$$\tau_+ = \{q_{i < 0} = 0\},$$

is an infinite tower of *non-Abelian* coverings

$$\begin{array}{ll} \tau^+ : & q_{0,t} = u_z q_{0,x}, & q_{0,y} = u_s q_{0,x}, \\ & q_{1,t} = u_z q_{1,x} + q_{0,z}, & q_{1,y} = u_s q_{1,x} + q_{0,s}, \\ & \dots & \dots \\ & q_{i,t} = u_z q_{i,x} + q_{0,z}, & q_{i,y} = u_s q_{i,x} + q_{0,s}, \\ & \dots & \dots \end{array}$$

## Negative covering

The first two pairs of equations in the negative reductions are

$$\begin{aligned}q_{0,z} &= 0, & q_{0,s} &= 0; \\q_{-1,z} &= q_{0,t} - u_z q_{0,x}, & q_{-1,s} &= q_{0,y} - u_s q_{0,x}.\end{aligned}$$

The first pair implies  $q_0 = q_0(x, y, t)$ . Set  $q_0 = x$ . Then from the second one we obtain  $q_{-1} = u$ . Now, relabelling  $w_i = q_{-i-2}$ ,  $i = 0, 1, \dots$ , we obtain the infinite series of 2-component conservation laws

$$\begin{aligned}\tau^- : \quad w_{0,z} &= u_t - u_z u_x, & w_{0,s} &= u_y - u_s u_x, \\w_{1,z} &= w_{0,t} - u_z w_{0,x}, & w_{1,s} &= w_{0,y} - u_s w_{0,x}; \\&\dots & &\dots \\w_{i+1,z} &= w_{i,t} - u_z w_{i,x}, & w_{i+1,s} &= w_{i,y} - u_s w_{i,x}, \\&\dots & &\dots\end{aligned}$$

The first one is local, the others are nonlocal.

## Some explicit results – local symmetries

The basis of local symmetries of (1) is

$$\phi_1 = ysu_s + tsu_z + yu + tyu_t + y^2u_y,$$

$$\phi_2 = su_s + yu_y,$$

$$\phi_3 = tu + t^2u_t + tyu_y + tzu_z + yzu_s,$$

$$\phi_4 = tu_y + zu_s,$$

$$\phi_5 = tu_z + yu_s,$$

$$\phi_{13}(U(t, x, y)) = sU_y - uU_x + zU_t + UU_x,$$

$$\phi_{14}(U(t, x, y)) = U,$$

$$\phi_8 = u_z,$$

$$\phi_9 = su_z + yu_t,$$

$$\phi_{10} = u + tu_t + yu_y$$

$$\phi_{11} = u_t,$$

$$\phi_{12} = u_y,$$

$$\phi_6 = u_s$$

$$\phi_7 = tu_t + zu_z,$$

## Lie algebra structure

On the next slide we will see commutators of above symmetries.

All  $U_i$ ,  $V_i$ ,  $V_{ji}$ ,  $i = 1, 2$ ,  $j = 1, \dots, 13$  are functions of  $t, x, y$ , and

$$V_{1i} = -(i-1)yV_i - tyV_{i,t} - y^2V_{i,y}, \quad V_{2i} = -yV_{i,y},$$

$$V_{3i} = -(i-1)tV_i - t^2V_{i,t} - tyV_{i,y}, \quad V_{4i} = -tV_{i,y},$$

$$V_{51} = -tV_{1,t} - yV_{1,y}, \quad V_{61} = -V_{1,y},$$

$$V_{7i} = -tV_{i,t}, \quad V_{81} = -V_{1,t},$$

$$V_{9i} = -yV_{i,t}, \quad V_{10i} = -(i-1)V_i - tV_{i,t} - yV_{i,y},$$

$$V_{11i} = -V_{i,t}, \quad V_{12i} = -V_{i,y},$$

$$V_{13i} = U_{1,x}V_i - U_1V_{i,x}.$$



## Action of the recursion operator on the basis symmetries

Denoting  $\psi_i = \mathcal{R}(\phi_i)$ ,  $i = 1 \dots 14$  and using nonlocal variable  $w_1$  defined by  $w_{1,z} = u_t + uu_{xz}$ ,  $w_{1,s} = u_y + uu_{xs}$  we obtain the following results:

$$\begin{aligned}\psi_1 &= s\phi_{10} + y\psi_{10}, & \psi_2 &= s\phi_{12} + y\psi_{12}, \\ \psi_3 &= z\phi_{10} + t\psi_{10}, & \psi_4 &= z\phi_{12} + y\psi_{12}, \\ \psi_7 &= z\phi_{11} + t\psi_{11}, & \psi_9 &= s\phi_{11} + y\psi_{11},\end{aligned}$$

$$\psi_5 = \phi_{10}, \quad \psi_6 = \phi_{12}, \quad \psi_8 = \phi_{11}, \quad \psi_{14} = \phi_{13},$$

$$\psi_{10} = -uu_x + 2w_1 + t\psi_{11} + y\psi_{12},$$

$$\psi_{11} = -uu_{tx} + w_{1,t},$$

$$\psi_{12} = -uu_{xy} + w_{1,y},$$

$$\psi_{13} = \frac{1}{2}(z^2 U_{tt} + s^2 U_{yy} + u^2 U_{xx}) + z(u_x U_t - u U_{tx} + s U_{ty}) + s(u_x U_y - u U_{xy}) - uu_{xx} U - w_1 U_x + w_{1,x} U.$$

## Action of the recursion operator

The action of the direct recursion operator  $\psi = \mathcal{R}(\varphi)$  generates nonlocal symmetries in  $\tau_-$ .







Moreover, the action of its inverse  $\varphi = \mathcal{R}^{-1}(\psi)$  generates those in  $\tau_+$ .















Thank you







for your attention








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